

## Application

<b>Federal Award Identification Number (FAIN)</b>	NE-2020-001-00
<b>Temporary Application Number</b>	6776-2019-1
<b>Application Name</b>	FFY 20 TTP Formula Operating Assistance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

### Part 1: Recipient Information

**Name: Winnebago Tribe Of Nebraska**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6776	Indian Tribe	WINNEBAGO TRIBE OF NEBRASKA	041643834

Location Type	Address	City	State	Zip
Headquarters	100 BLUFF ST	WINNEBAGO	NE	680719787
Physical Address	100 BLUFF ST	WINNEBAGO	NE	68071
Mailing Address	P.O. BOX 687	WINNEBAGO	NE	68071

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: FFY 20 TTP Formula Operating Assistance**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2020-001-00	Final Concurrence / Reservation	Grant	9/6/2019	9/6/2019	No

**Application Start Date**

The start date will be set to the date of the award

**Application End Date**

9/29/2022

**Application Executive Summary**

The Winnebago Tribe of Nebraska requests Section 5311 Tribal Transit Program funds:

FFY18 \$364,455;

FFY19 \$344,771

to funds to assist with on-going Operational and Administration costs of the Winnebago Tribal Transit Program.

The Tribe is using Federal funds at the 100:0 match ratio for the time period of 12-01-2019 to 9-30-2021.

There is no local match requirement for 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #8 Matching Requirements.

There is no STIP requirement for 5311 TTP Formula Funds, FTA Circ. 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Winnebago Tribe's Indirect Cost is 11.70%

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Rita	Dunn	Grant and Contract Specialist	rita.dunn@winnebagotribe.com	(402) 878-2272 2213

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$709,226
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$709,226</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-001-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$709,226.00	\$0.00	\$709,226.00	0
NE-2020-001-01-00	30.09.01 100% FEDERAL SHARE FOR TRIBAL OPERATING FUNDS	\$709,226.00	\$0.00	\$709,226.00	0

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

## Project Title: Winnebago Tribe FY20 TTP Formula Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2020-001-01-00	6776-2019-1-P3	9/6/2019	9/30/2019	9/29/2021

### Project Description

The Winnebago Tribe requests Section 5311 Federal FY18: \$364,455 & FY19: \$344,771 funds to assist with the on-going operation and administration of the Winnebago Tribal Transit Program. The Tribe is claiming Operating funding for the time-period of 12-01-2019 to 9-30-2021.

### Project Benefits

This will benefit the 4000 patrons (Tribal and General Public) of the Winnebago Tribal Transit Program, which are primarily low-income individuals, transporting them to and from jobs, healthcare facilities, higher education, personal shopping and activities. Transporting the elderly, disabled, young and unlicensed individuals.

Providing rides to Tribal and general public on and off the Winnebago Tribe Reservation in Nebraska and Iowa.

### Additional Information

Winnebago Tribal Transit Program are empowered to increase family income by accessing employment and higher education opportunities. Native Americans from the Siouxland area in northeast Nebraska, northwest Iowa and southeastern South Dakota are able to access healthcare services from the Winnebago 12 Clans Unity Hospital/Winnebago Comprehensive Healthcare Services in Winnebago, Nebraska. Additionally, residents of the Winnebago Reservation use the service to address the challenge of living in a food desert. The majority of the patrons are from low to very-low income families.

### Location Description

Dakota and Thurston Counties in Nebraska and Woodbury County in Iowa.

## Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

## Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry
Iowa	4	Steve King

## Program Plan Information

### STIP/TIP

Date: 9/26/2019

Description: As it pertains to the Road Department filed with the Bureau of Indian Affairs.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$709,226
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$709,226</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-001-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$709,226.00	\$0.00	\$709,226.00	0
NE-2020-001-01-00	30.09.01 100% FEDERAL SHARE FOR TRIBAL OPERATING FUNDS	\$709,226.00	\$0.00	\$709,226.00	0

**Project Budget Activity Line Items**

Budget Activity Line Item: 30.09.01 - 100% FEDERAL SHARE FOR TRIBAL OPERATING FUNDS				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity

OTHER PROGRAM COSTS 30.09.01 UP TO 50% FEDERAL OPERATING ASSISTANCE 0  
 (600-00) SHARE

**Extended Budget Description**

These funds will be used to cover on-going Operating and Administrative costs for the time period of 12-01-2019 to 9-30-2021

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$709,226
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$709,226</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Operations and administrative assistance will begin on 10/01/2019.
End Date	9/30/2021	The operations and administration will be completed, including close out.

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
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## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### Application Review Comments

**Comment By** Beth Held

Comment Type	Environmental Findings
Date	12/18/2019
Project Title	Winnebago Tribe FY20 TTP Formula Operating Assistance
Project Number	NE-2020-001-01-00
Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

### Application Review Comments

**Comment By** Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	9/11/2019
Comment	<p>This grant has been sent to FTA for review too late into the grant season, its too late to process the grant. But, you may continue to work on the grant and other documents for processing as soon as TrAMS opens back up in late October / early November.</p> <p>Areas that need correcting: Award Executive Summary:</p> <ul style="list-style-type: none"> <li>- Specifically breakout the FY18 \$364,455 &amp; FY19 \$344,771 funds amounts</li> <li>- ADD: The Tribe is using Federal funds at the 100:0 match ratio for the time period of (GIVE TIME PERIOD as in from ??/??/???? to ??/??/????).</li> <li>- ADD: There is no Local Match requirement for 5311 TTP Formula Funds, FTA Circ. 9040.1G Page X-3 #8 Matching Requirements.</li> <li>- ADD: There is no STIP requirement for 5311 TTP Formula Funds, FTA Circ. 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.</li> <li>- The wrong Scope is being used; please change the current Scope to 600-00 for "Other Programs".</li> <li>- Change current ALI to 30.09.01, 100% FEDERAL SHARE - TTPF, which should be used for Tribal Operating Assistance</li> <li>- MILESTONES are not correct, you are using the same date, they should match the</li> </ul>

TIME PERIOD above.

- The Tribe's FY 2019 Certifications & Assurances are incorrect. You can select FY19s (1,2, 4, 5, 6, 14, 16), Or you can check the top option 1-18 ( which we highly encourage), we will only enforce the ones that are applicable.

- Although you have submitted your Indirect Rate to the DOI, you do already have an indirect rate, right? Or not? If you do, then add this comment:

- ADD: Indirect Rate Details: FTA applies a 10 percent cap on indirect costs that are eligible for reimbursement under the TTP formula and discretionary program for operating grants so long as the tribe has an approved cost allocation plan and approved indirect rates by a cognizant federal agency.

**Comment By Logan Daniels**

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Comment Type FTA Post Review Comments for Grantee

Date 11/19/2019

Grant looks good, final requested updates deal with the dates used in the grant.  
- You can't have all of your milestone dates having the same date (9/30/2021) but then you have "Start Date shall be 10/01/2019" next to a 9/30/2021 date.  
- The milestone dates should fall inside the time period you are claiming the operating and admin work time of 12-01-2019 to 9-30-2021. See 10/01/2019 above.

If you need help with the milestone dates let me know.

## Application Review Comments

**Comment By Joy Johnson**

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Comment Type Line Item Review

Date 10/11/2019

Project Title Winnebago Tribe FY20 TTP Formula Operating Assistance

Project Number NE-2020-001-01-00

(With Respect to Line Item: 30.09.01)

Comment Unable to change this from to the 600 number suggested. Need assistance to make that change.

## Part 6: Agreement

UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT  
(FTA G-25, October 1, 2018)



On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Winnebago Tribe Of Nebraska

Recipient ID: 6776

DUNS No: 041643834

#### **Application Information**

Federal Award Identification Number: NE-2020-001-00

Application Name: FFY 20 TTP Formula Operating Assistance

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2022

Application Executive Summary: The Winnebago Tribe of Nebraska requests Section 5311 Tribal Transit Program funds:

FFY18 \$364,455;

FFY19 \$344,771

to funds to assist with on-going Operational and Administration costs of the Winnebago Tribal Transit Program.

The Tribe is using Federal funds at the 100:0 match ratio for the time period of 12-01-2019 to 9-30-2021.

There is no local match requirement for 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #8 Matching Requirements.

There is no STIP requirement for 5311 TTP Formula Funds, FTA Circ. 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$709,226.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$709,226.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$709,226.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$709,226
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Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$709,226</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Winnebago Tribe Of Nebraska*